





BS&R Y2K Status and Problem Report

[Institution Category]

[Date and Time of Report]

Institution Name [Institution Name] City, State [City Name, State] Parent Country [Country]	District [FRB-Name] Entity Type [Entity Type] Assets (\$ millions) [Total Assets]
Institution Contact, Title <input type="text"/>	Reserve Bank Contact <input type="text"/>
Phone <input type="text"/>	Phone <input type="text"/>
Fax <input type="text"/>	Fax <input type="text"/>

Summary Ratings: (click on a factor to scroll down to that section of the report)

Overall	Operations	Strategic Markets	Customer	Liquidity
				

Overall Rating:  [last reported]

[Top of Page](#)

☒ Green ☐ Yellow ☐ Red

Explain:

I. Status of Operations:  [last reported]

[Top of Page](#)

☒ Green ☐ Yellow ☐ Red

A. Operations of Core Business Functions

For all domestic operations, as well as critical foreign operations

- ☐ Operating normally (If not operating normally, check one of the following)
- ☐ Operating, but some partial or intermittent disruptions to one or more core business functions
- ☐ Operating, but significant disruptions to one or more core business functions
- ☐ Not able to open for business

Explain:

B. Operation(s) Disrupted (check all that apply)

For all domestic operations, as well as critical foreign operations

☐ [Check Processing](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Deposit Account System](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [ATM Network](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [ACH](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Payment System Provider \(e.g., CHIPS, TARGET\)](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Funds Transfer System](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Securities Transfer System](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Trading System\(s\)](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Loan Account System](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Credit Card Processing](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

☐ [Other](#)

Geographic Impact: ☐ Organization wide ☐ Regional ☐ Isolated

Primary source of disruption

Explain:

C. Contingency Plan

Has a contingency plan been activated for a mission critical system?

☐ Yes ☐ No

If yes, has the contingency plan been successful?

☐ Yes ☐ No

Explain:

II. Strategic Markets (Key Players Only): [last reported]

[Top of Page](#)

☒ Green ☐ Yellow ☐ Red

Institution is experiencing difficulty in the following strategic markets (check all that apply):

- ☐ Commercial paper
- ☐ Corporate equities and securities
- ☐ Derivatives (exchange-traded)
- ☐ Eurodollar
- ☐ Federal funds
- ☐ Foreign exchange
- ☐ Government securities
- ☐ Retail payments
- ☐ Wholesale payments

Explain:

III. Customer Behavior: [last reported]

[Top of Page](#)

☒ Green ☐ Yellow ☐ Red

Customers/Counterparties

☐ Normal behavior (If not normal, check one of more of the following)

☐ Increased cash withdrawals

☐ Increased borrowing (including drawdowns on committed lines of credit)

☐ Increased deposits

☐ Account termination

☐ Other behavior trends

Explain:

IV. Cash, Liquidity, Funding and Capital: [last reported]

[Top of Page](#)

☒ Green ☐ Yellow ☐ Red

☐ Operating normally (If not operating normally, check one of more of the following)

☐ Cash shortages or unusual demands

☐ Exercised or initiated borrowing arrangements

☐ Other liquidity concerns

☐ Pressure on capital ratios that could cause decline in PCA category

Has a market contingency plan or other risk management measures been activated?

☐ Yes ☐ No

If yes, has the contingency plan or risk management measures been successful?

☐ Yes ☐ No

Explain: